



RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Craddock et al.**

Serial No.: **09/942,632**

Filed: **August 30, 2001**

For: **Apparatus and Method for  
Swapping-Out Real Memory by  
Inhibiting I/O Operations to a  
Memory Region**

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Group Art Unit: **2185**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010475US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

10-11-01

By:

Dell Whitton  
Dell Whitton

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Request for Corrected Filing Receipt
- Copy of the Official Filing Receipt;
- Letter to the Official Draftsman;
- 11 pages formal drawings; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

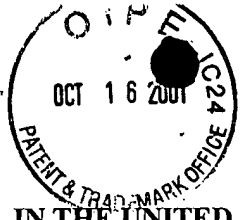
P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT

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Prescript

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By: Dell Whitton  
Dell Whitton

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☒ incorrectly entered and/or ☐ omitted.

**Error in**

**Correct data**

1. ☒ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

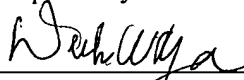
1. Thomas Anthony Gregg
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

3. The correction(s) is/are not due to any error by applicant and no fee is due.

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No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to **Deposit Account No. 09-0447**. No extension of time is believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to **Deposit Account No. 09-0447**.

Respectfully submitted,



Duke W. Yee

*Registration No. 34,285*

**CARSTENS, YEE & CAHOON, LLP**

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/942,632	08/30/2001	2185	890	AUS920010475US1	11	30	3

CONFIRMATION NO. 9075

## FILING RECEIPT



\*OC000000006840667\*

Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P. O. Box 802334  
Dallas, TX 75380

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Davie F. Craddock, New Paltz, NY;  
Thomas Anthony ~~Gregg~~, Highland, NY;  
Renato John Recio, Austin, TX;  
Donald William Schmidt, Stone Ridge, NY;

*Gregg*

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## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 03/06/2003

Non-Publication Request: No

Early Publication Request: No

## Title

Apparatus and method for swapping-out real memory by inhibiting I/O operations to a memory

region

Preliminary Class

711

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 9075

<b>SERIAL NUMBER</b> 09/942,632	<b>FILING DATE</b> 08/30/2001 <b>RULE</b>	<b>CLASS</b> 711	<b>GROUP ART UNIT</b> 2185	<b>ATTORNEY DOCKET NO.</b> AUS920010475US1
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**APPLICANTS**

Davie F. Craddock, New Paltz, NY;  
Thomas Anthony Gregg, Highland, NY;  
Renato John Recio, Austin, TX;  
Donald William Schmidt, Stone Ridge, NY;

\*\* CONTINUING DATA \*\*\*\*\*

None

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

None

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 10/03/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 11	<b>TOTAL CLAIMS</b> 30	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <u>[Signature]</u> Initials				

**ADDRESS**

Duke W. Yee  
Carstens, Yee & Cahoon, LLP  
P. O. Box 802334  
Dallas, TX 75380

**TITLE**

Apparatus and method for swapping-out real memory by inhibiting I/O operations to a memory region

<b>FILING FEE RECEIVED</b> 890	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



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Examiner: **Unknown**

Attorney Docket No.: **AUS920010475US1**

**LETTER TO THE OFFICIAL DRAFTSMAN**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are formal drawings (11 sheets) for the above-identified application.

Respectfully submitted,

Duke W. Yee  
Registration No. 34,285  
**Carstens, Yee & Cahoon, LLP**  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANT

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